

# From Smooth Spaces to Smooth Categories

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Logic, Category Theory and Physics

- ▶ **Smooth manifolds and convenient categories**
- ▶ Diffeological spaces
- ▶ Convenient properties of diffeological spaces
- ▶ Smooth categories

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# Outline

Smooth manifolds and convenient categories

Which category?

Diffeological spaces

Convenient properties of diffeological spaces

Smooth Categories

Internalization

Example: the path groupoid and 1-forms

In differential geometry, the most popular category, that of finite-dimensional smooth manifolds, fails to be cartesian closed.

If  $X$  and  $Y$  are finite-dimensional smooth manifolds, the space of smooth maps  $\mathcal{C}^\infty(X, Y)$  usually is not.

It is some sort of *infinite-dimensional* manifold.

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The category of finite-dimensional smooth manifolds lacks other desirable features such as:

subspaces and quotient spaces,

limits and colimits.

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## Synthetic

Lawvere, Kock

## Maps in

Chen, Souriau

## Maps out

Smith, Sikorski

## Maps in & out

Frölicher

- See Andrew Stacey's "Comparative Smootheology"

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# Diffeological spaces

Let  $\text{Diffeological}$  be the category whose objects are open subsets of  $\mathbb{R}^n$ ,  $n \geq 0$ , and whose morphisms are smooth maps.

We now define the category of diffeological spaces  $\mathcal{C}^\infty$ .

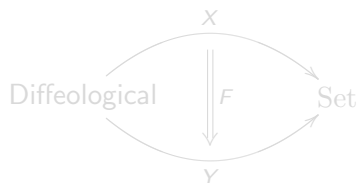
## Definition

A **diffeological space** is a concrete sheaf

$$X: \text{Diffeological}^{\text{op}} \rightarrow \text{Set}.$$

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A **smooth map** between diffeological spaces  $X, Y$  is a natural transformation  $F: X \Rightarrow Y$ .



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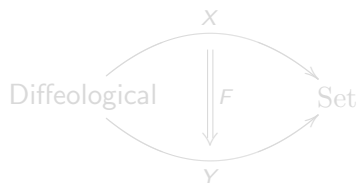
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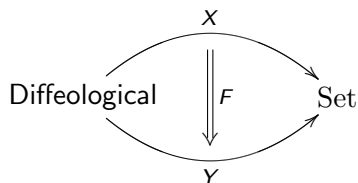
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# Presheaves

## Definition

A **presheaf** on Diffeological is a contravariant functor  $X: \text{Diffeological}^{op} \rightarrow \text{Set}$ .

## Presheaf condition

Given  $\varphi \in X(U)$  and  $f: U' \rightarrow U$  a smooth function between open sets, then  $X(f)(\varphi) \in X(U')$ .

$$X(f): X(U) \rightarrow X(U')$$

$$\varphi \mapsto X(f)(\varphi) := \varphi f$$

We think of  $X(1)$  as the underlying set of the diffeological space  $X$ . For any open set  $U$  in Diffeological, we call the elements of  $X(U)$  **plots in  $X$  with domain  $U$** .

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For each open subset  $U$ , there is a function

$$\_ : X(U) \rightarrow \text{Fun}(U, X(1))$$

sending plots in  $\varphi \in X(U)$  to functions

$$\underline{\varphi} : U \rightarrow X(1).$$

### Definition

We say a presheaf  $X : \text{Diffeological}^{\text{op}} \rightarrow \text{Set}$  is **concrete** if for every object  $U \in \text{Diffeological}$ , the function  $\_ : X(U) \rightarrow \text{Fun}(U, X(1))$  is one-to-one.

The concreteness condition allows us to think of a plot  $\varphi \in X(U)$  as a function  $\varphi : U \rightarrow X$ .

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Suppose the open sets  $U_j \subseteq U$  form an open cover of the open set  $U$ , with inclusions  $i_j: U_j \rightarrow U$ . If  $X(i_j)(\varphi)$  is a plot in  $X$  for every  $j$ , then  $\varphi$  is a plot in  $X$ .

Let  $X$  be a diffeological space,  $(i_j: U_j \rightarrow U | j \in J)$  an open cover, and  $\{\varphi_j \in X(U_j) | j \in J\}$  a family of plots.

If every two plots agree where both are defined then we can define a unique function  $\varphi: U \rightarrow X$  by gluing together the local plots.

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# Recap

- ▶ We think of a diffeological space  $X$  as a set  $X(1)$  with extra structure.
- ▶ So, a diffeological space is a set which can be probed by spaces already equipped with smooth structure.
- ▶ A map between diffeological spaces  $F: X \rightarrow Y$  is completely determined by the function  $F_1: X(1) \rightarrow Y(1)$ .
- ▶ A function  $f: X(1) \rightarrow Y(1)$  is smooth if and only if it carries each plot  $\varphi: U \rightarrow X(1)$  to a plot  $f\varphi: U \rightarrow Y(1)$ .

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## Some examples

- ▶ Every smooth manifold is a smooth space, and a map between smooth manifolds is smooth in the new sense if and only if it is smooth in the usual sense.
- ▶ Every diffeological space has a natural topology, and smooth maps between diffeological spaces are automatically continuous.
- ▶ Any set  $X$  has a **discrete** smooth structure such that the plots  $\varphi: U \rightarrow X$  are just the constant functions.
- ▶ Any set  $X$  has an **indiscrete** smooth structure where every function  $\varphi: U \rightarrow X$  is a plot.

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# Subspaces

Any subset  $Y \subseteq X$  of a diffeological space  $X$  becomes a diffeological space if we define  $\varphi: U \rightarrow Y$  to be a plot in  $Y$  if and only if its composite with the inclusion  $i: Y \rightarrow X$  is a plot in  $X$ . We call this the **subspace** smooth structure.

- ▶ The inclusion  $i: Y \rightarrow X$  is smooth.
- ▶ It is a monomorphism of diffeological spaces.
- ▶ Not every monomorphism is of this form.
- ▶ **Example** The natural map from  $\mathbb{R}$  with its discrete smooth structure to  $\mathbb{R}$  with its standard smooth structure is also a monomorphism.

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# Quotient spaces

If  $X$  is a diffeological space and  $\sim$  is any equivalence relation on  $X$ , the quotient space  $Y = X / \sim$  becomes a diffeological space if we define a plot in  $Y$  to be any function of the form

$$U \xrightarrow{\varphi} X \xrightarrow{p} Y$$

where  $\varphi$  is a plot in  $X$ .

# Products

Given diffeological spaces  $X$  and  $Y$ , the product  $X \times Y$  of their underlying sets becomes a diffeological space where  $\varphi: U \rightarrow X \times Y$  is a plot if and only if its composites with the projections

$$p_X: X \times Y \rightarrow X, \quad p_Y: X \times Y \rightarrow Y$$

are plots in  $X$  and  $Y$ , respectively.

In fact, diffeological spaces have all limits.

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# Coproducts

Given diffeological spaces  $X$  and  $Y$ , the disjoint union  $X + Y$  of their underlying sets becomes a diffeological space where  $\varphi: U \rightarrow X + Y$  is a plot if and only if for each connected component  $C$  of  $U$ ,  $\varphi|_C$  is either the composite of a plot in  $X$  with the inclusion  $i_X: X \rightarrow X + Y$ , or the composite of a plot in  $Y$  with the inclusion  $i_Y: Y \rightarrow X + Y$ .

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In fact, diffeological spaces have all colimits.

# Mapping spaces

Given diffeological spaces  $X$  and  $Y$ , the set

$$\mathcal{C}^\infty(X, Y) = \{f: X \rightarrow Y: f \text{ is smooth}\}$$

becomes a diffeological space where a function  $\tilde{\varphi}: U \rightarrow \mathcal{C}^\infty(X, Y)$  is a plot if and only if the corresponding function  $\varphi: U \times X \rightarrow Y$  given by

$$\varphi(z, x) = \tilde{\varphi}(z)(x)$$

is smooth.



## Mapping spaces cont.

With this smooth structure one can show that the natural map

$$\begin{aligned} \mathcal{C}^\infty(X \times Y, Z) &\rightarrow \mathcal{C}^\infty(X, \mathcal{C}^\infty(Y, Z)) \\ f &\mapsto \tilde{f} \end{aligned}$$

$$\tilde{f}(x)(y) = f(x, y)$$

is smooth, with a smooth inverse. So, we say the category of diffeological spaces is cartesian closed.

## Differential geometry

We can transport many constructions from differential geometry to the setting of diffeological spaces.

### Definition

A  **$p$ -form** on the diffeological space  $X$  is an assignment of a smooth  $p$ -form  $\omega_\varphi$  on  $U$  to each plot  $\varphi: U \rightarrow X$ , satisfying this **pullback compatibility condition** for any smooth map  $f: U' \rightarrow U$ :

$$(f^*\omega)_\varphi = \omega_{\varphi \circ f}$$

### Proposition

Given a smooth map  $f: X \rightarrow Y$  and  $\omega \in \Omega^p(Y)$  there is a  $p$ -form  $f^*\omega \in \Omega^p(X)$  given by

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We call  $f^*\omega$  the **pullback of  $\omega$  along  $f$** .

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Diffeological spaces can be used as a tool for defining a notion of 'smooth category'.

A smooth category is a category  $\mathcal{C}$  internal to  $\mathcal{C}^\infty$ .

- ▶  $\text{Ob}(\mathcal{C})$  is a diffeological space;
- ▶  $\text{Mor}(\mathcal{C})$  is a diffeological space;
- ▶ the structure maps are smooth, in particular, the composition map

$$\circ: \text{Mor}(\mathcal{C})_t \times_s \text{Mor}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$$

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# Why pullbacks?

Pullbacks guarantee  $\text{Mor}(\mathcal{C})_t \times_s \text{Mor}(\mathcal{C})$  to be a diffeological space.

$$\begin{array}{ccc} \text{Mor}(\mathcal{C})_t \times_s \text{Mor}(\mathcal{C}) & \longrightarrow & \text{Mor}(\mathcal{C}) \\ \downarrow & & \downarrow s \\ \text{Mor}(\mathcal{C}) & \xrightarrow{t} & \text{Ob}(\mathcal{C}) \end{array}$$

# Smooth functors

While we are at it, we can define smooth functors as functors internal to  $\mathcal{C}^\infty$ .

## Definition

A **functor**  $F: A \rightarrow B$  **internal to**  $\mathcal{C}^\infty$  is

- ▶ a smooth map of objects  $\text{Ob}(F): \text{Ob}(A) \rightarrow \text{Ob}(B)$  in  $\mathcal{C}^\infty$ ;
- ▶ a smooth map of morphisms  $\text{Mor}(F): \text{Mor}(A) \rightarrow \text{Mor}(B)$  in  $\mathcal{C}^\infty$ ;

such that diagrams expressing respect for the source, target, identity, and composition maps commute.

# Smooth groupoids

## Definition

A **smooth groupoid** is a smooth category  $\mathcal{C}$  such that every morphism has an inverse, and the map

$$\text{inv}: \text{Mor}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$$

sending each morphism to its inverse is smooth.

Given a diffeological space  $X$ , there is a smooth groupoid  $\mathcal{P}_1(X)$ , the **path groupoid** of  $X$ , such that:

- ▶ the objects of  $\mathcal{P}_1(X)$  are points of  $X$ ,
- ▶ the morphisms of  $\mathcal{P}_1(X)$  are thin homotopy classes of smooth paths  $\gamma: [0, 1] \rightarrow X$  such that  $\gamma(t)$  is constant near  $t = 0$  and  $t = 1$ .

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A **thin homotopy** between smooth paths  $\gamma_0, \gamma_1: [0, 1] \rightarrow X$  is a smooth map  $F: [0, 1]^2 \rightarrow X$  such that:

- ▶  $F(0, t) = \gamma_0(t)$  and  $F(1, t) = \gamma_1(t)$ ,
- ▶  $F(s, t)$  is constant for  $t$  near 0 and constant for  $t$  near 1,
- ▶  $F(s, t)$  is independent of  $s$  for  $s$  near 0 and for  $s$  near 1,
- ▶ the rank of the differential  $dF(s, t)$  is less than or equal to 1 for all  $(s, t) \in [0, 1]^2$ .

The path groupoid is a smooth category because the set of morphisms is a quotient of a subspace of the mapping space  $C^\infty([0, 1], X)$ .

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# Smooth groups

## Definition

A **smooth group** is a smooth groupoid with one object.

Skipping a bunch of details, we have the following:

- ▶ We can define the tangent space at point  $x$  in a diffeological space  $X$ .
- ▶ We can define a concept of smooth vector space: a diffeological space that is also a vector space.
- ▶ So we can define a smooth vector space  $\mathfrak{g}$  for any smooth group  $G$ .
- ▶ Exponentiating takes any Lie algebra to a Lie group: a similar concept of **exponentiable group** can be defined in this setting.

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## Smooth functors and 1-forms

Given an exponentiable smooth group  $G$ , a diffeological space  $X$ , and a  $\mathfrak{g}$ -valued 1-form  $A$  on  $X$ , then for any smooth path  $\gamma: [0, 1] \rightarrow X$ , we can associate an element

$$g = P\exp \int_{\gamma} A \in G.$$

The element  $g$  describes parallel transport along the path  $\gamma$  using the connection  $A$ .

## Theorem

(Baez, Schreiber, Waldorf)

*Let  $G$  be an exponentiable smooth group and  $X$  a smooth space. Given any  $\mathfrak{g}$ -valued 1-form  $A$  on  $X$ , there is a smooth functor*

$$\text{hol}: \mathcal{P}_1(X) \rightarrow G$$

*given by*

$$P_{\text{exp}} \int_{\gamma} A \in G,$$

*where  $\gamma$  is any representative of the thin homotopy class  $[\gamma]$ . This gives a one-to-one correspondence between elements  $A \in \Omega^1(X, \mathfrak{g})$  and smooth functors  $\text{hol}: \mathcal{P}_1(X) \rightarrow G$ .*

# Possible directions

- ▶ Principal  $G$ -bundles with connection and smooth path groupoids
- ▶ Higher gerbes
- ▶ More differential geometry/topology...